

Company Profile (As of March 31, 2021)

Company Name	Hitachi Construction Machinery Co., Ltd.
Paid-in Capital	81,577 million yen
Head Office	16-1 Higashiueno 2-choume, Taito-ku, Tokyo, 110-0015, Japan
Established	October 1, 1970
Representative	Kotaro Hirano, Representative Executive Officer, President and CEO
Number of Employees	24,873 (Consolidated), 5,455 (Non-consolidated)
Major Operations	Manufacturing, sales, rental and service of construction machinery, transportation machinery, environmental related products and other machines and devices
URL	https://www.hitachicm.com/global/

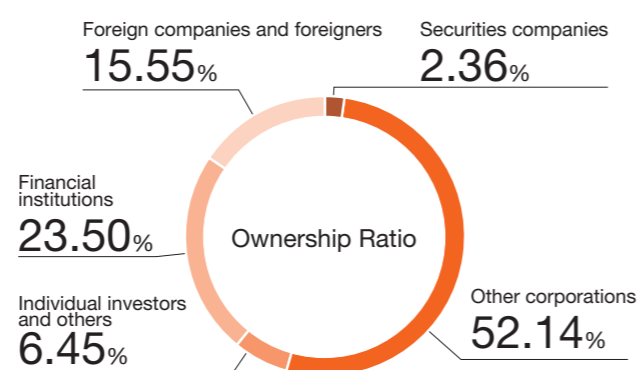


Ueno East Tower

Investor Information (As of March 31, 2021)

Stock Exchange of Listing	First Section of the Tokyo Stock Exchange The cash equity market integration between Tokyo Stock Exchange (TSE) and Osaka Securities Exchange (OSE) was completed on July 16, 2013.
Accounting Auditor	Ernst & Young ShinNihon LLC
Shareholder Registry Administrator	Tokyo Securities Transfer Agent Co., Ltd.
Number of Shares Authorized	700,000,000 Shares
Number of Shares Issued	215,115,038 Shares
Number of Shareholders	21,663
Annual Shareholders Meeting	The annual shareholders meeting is usually held before the end of June in Tokyo.

Composition of Hitachi Construction Machinery Shareholders



Major Shareholders (Top 10 Largest Shareholders) (As of March 31, 2021)

Shareholder	Number of shares held ('000)	Ownership ratio (%) (Third decimal place rounded off)
Hitachi, Ltd.	109,352	51.42
The Master Trust Bank of Japan, Ltd. (trust account)	26,388	12.41
Custody Bank of Japan, Ltd. (trust account)	10,588	4.98
Custody Bank of Japan, Ltd. (securities investment trust account)	2,487	1.17
Custody Bank of Japan, Ltd. (trust account 7)	1,993	0.94
The Bank of NY Mellon (International,) Ltd. 131800	1,860	0.87
Custody Bank of Japan, Ltd. (trust account 9)	1,629	0.77
State Street Bank West Client - Treaty 505234	1,558	0.73
Custody Bank of Japan, Ltd. (trust account 5)	1,434	0.67
JP Morgan Chase Bank 385781	1,330	0.63

* Hitachi Construction Machinery holds 2,463,047 shares of treasury stock, but these have been excluded from the list of major shareholders above.
* Ownership ratio is calculated without the 2,463,047 shares of treasury stock.

External Evaluation

Investor Relations



IR Special Award at 2020 IR Award
We received the Empathy IR Award at the IR Special Award 2020 conducted by Japan Investor Relations Association. This award was newly created on the occasion of the 25th anniversary of the IR Special Award. The theme for 2020 was "IR - with and after Covid-19". (November, 2020)

SRI



Dow Jones Sustainability Asia Pacific Index
We were selected for inclusion in the Asia Pacific Index of the Dow Jones Sustainability Indices (DJSI). The DJSI was developed in 1999 by S&P Dow Jones Indices and RobecoSAM, a Swiss investment advisory firm with the aim of comprehensively analyzing listed companies around the world in terms of economy, environment, and society, and to select companies that excel in sustainability. (as of November 2020)



FTSE4Good

FTSE4Good Global Index Series
We were selected for inclusion in the "FTSE4Good Global Index Series" Responsible Investment (RI) indices for global companies. (as of June 2021)



FTSE Blossom Japan

FTSE Blossom Japan Index
We are recognized as a Japanese company engaging in excellent practices in terms of environment, society, and governance (ESG). (as of June 2021)



Sompo Sustainability Index

SOMPO Sustainability Index
We were selected for inclusion in the active index created independently by SOMPO Asset Management that combines ESG evaluation (Environment, Society, Governance) and stock price evaluation (fundamental value). (as of June 2021)

2021 CONSTITUENT MSCI JAPAN ESG SELECT LEADERS INDEX

MSCI Japan ESG Select Leaders Index
We have been selected for inclusion in the MSCI Japan ESG Select Leaders Index as a company recognized for its ESG evaluation among companies in the MSCI Japan IMI Top 700 Index. (as of June 2021)

External Recognition



Carbon Disclosure Project (CDP)
The CDP is a non-profit organization established in the UK in 2000 that globally surveys, discloses, and evaluates corporate initiatives regarding climate change and water. In 2020, we received a climate change score of A- and a water score of B, as we did in 2019.

	2018	2019	2020
CDP Climate Change	B	A-	A-
CDP Water	B-	B	B



SBT (Science Based Targets, emissions reduction targets based upon scientific evidence)
SBTI certifies companies that have set scientifically based emissions reduction targets consistent with the 2°C target (1.5°C target) level required by the Paris Agreement. In May 2019, our long-term targets for greenhouse gas emissions reductions received SBT certification.

Information Disclosure



All Japanese Listed Companies' Website Ranking by Nikko Investor Relations Co., Ltd.

We were selected to receive an A Grade overall ranking by the All Japanese Listed Companies' Website Rankings. This ranking is conducted by Nikko Investor Relations Co., Ltd., and evaluates the degree of fulfillment and functionality of listed company websites. (December 2020)



Gomez / IR Site Overall Ranking (Morningstar)
Received Gomez / IR Site Overall Ranking Bronze Award (2020). The above is a ranking carried out by Morningstar Japan K.K. that evaluates from a user's perspective the IR sites of listed companies that meet certain survey criteria covering major items. (December 2020)

Management Strategy



DX Certification 2021
DX certification is a system for certification of companies that support basic items in the Digital Governance Code based upon the Act on Facilitation of Information Processing, and for companies making outstanding efforts in this regard, in view of the policies formulated by the government regarding support for changes caused by digital technologies. As our strategy for digital transformation, we are working to enhance existing businesses through improved service quality using ConSite®, and create new businesses opportunities through ICT solution-related measures. Additionally, in order to achieve a digital transformation, we are putting together an environment for internal business reform and strategy promotion using a core system based upon a uniform design policy and a data integration platform that utilizes this. In recognition of these initiatives, we have been certified as a DX-certified company.

ESG Certification



Health and Productivity 2021
Companies that responded to the Health Management Brand Survey conducted by METI and that meet certain standards receive this certification. For the Hitachi Construction Machinery Group, in addition to Hitachi Construction Machinery Co., Ltd., the three companies Hitachi Construction Machinery Tierra Co., Ltd., Hitachi Kenki Logistics Technology Co., Ltd., and Hitachi Construction Machinery Japan Co., Ltd. were certified in the large company group (excluding White 500). In the small to medium sized company group, Hitachi Construction Machinery Camino Co., Ltd., Tadakiko Co., Ltd., and Shin Tohoku Metal Co., Ltd. were certified (excluding White 500).

*Logo is for the large company group.

Endorsement of Initiatives



Task Force on Climate-related Financial Disclosures (TCFD)

The Task Force on Climate-related Financial Disclosures (TCFD) is an initiative established by the Financial Stability Board (FSB) in June 2017 that requires companies to disclose climate-related information that may impact their finances from the four perspectives of corporate governance, strategy, risk management, and indicators and targets. We announced our endorsement of TCFD in October 2020. We have put in place an internal task force and are working company-wide to disclose climate-related information that may impact our finances.